

Patent Application

Attorney Docket No.: 57941.000062 Client Reference No.: RA001.2003.1.C.US

IN THE ONITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael FARMWALD et al.

Group Art Unit: 2818

Appln. No.: 10/716,595

Examiner: Tan Nguyen

Filed: November 20, 2003

For: MEMORY DEVICE AND METHOD

FOR OPERATING SAME

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty under 37 C.F.R. § 1.56 of each individual associated with the filing and prosecution of the above-identified patent application (hereinafter, "associated disclose all information individuals") to known individual to be material to patentability, Applicant(s) hereby PTO-1449 (modified) submits attached Form listina cited This submission is made in accordance with 37 references. C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609.

The cited references, while believed to be of some relevance, are not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. Applicant(s) hereby expressly

reserves the right to swear behind the effective dates of any of Applicant(s) further reserves the right the cited references. to question the relevance, materiality, and/or prior art status of any of the cited references in whole, in part, or combination, subsequent to the filing of this supplemental information disclosure statement. This supplemental information is also not to be disclosure statement construed representation that a search has, or has not, been conducted or that better art exists. Rather, this supplemental no information disclosure statement discloses only the references of which the associated individuals are aware.

The Examiner is respectfully requested to consider each of the cited references, to indicate such consideration by initialing in the space provided next to each cited reference on the enclosed Form PTO-1449 (modified), to sign the initialed Form PTO-1449 (modified), and to return a copy of the same with the next communication to the Applicant(s).

In accordance with 37 C.F.R. § 1.98(a), only copies of the cited references which are not U.S. patents or U.S. patent application publications are being submitted herewith. However, copies of the cited references which are U.S. patents or U.S. patent application publications will be submitted at the request of the Examiner. In considering these cited references, it may

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be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of these cited references. Any such markings were either present on the copies of these cited references when obtained by the associated individuals, or were made thereon during the study of these cited references by the associated individuals.

In accordance with 37 C.F.R. § 1.97(b), this supplemental information disclosure statement is being filed (i) within three months of the filing date of the above-identified patent application; (ii) within three months of the date upon which the above-identified patent application entered the national stage as set forth in 37 C.F.R. § 1.491; (iii) before the mailing date of a first Office Action on the merits for the above-identified patent application; or (iv) before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Accordingly, no statement or fee is required.

Please charge any shortage in fees due in connection with the filing of this communication to Deposit Account No. 50-0206, and please credit any excess fees to such deposit account.

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PTO/SB/08A (10/01) (modified) ... U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute for form 1449A/PTO **Application Number** 10/716,595 **Filing Date** November 20, 2003 INFORMATION DISCLOSURE **Michael Farmwald First Named Inventor** STATEMENT BY APPLICANT 2818 **Art Unit** (use as many sheets as necessary) **Examiner Name** Unassigned 1 57941.000062 of 1 **Attorney Docket Number** Sheet OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the TRANSLATION Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue No. Initials number(s), publisher, city and/or country where published YES NO Invalidity argument materials of potential licensee relating to claim 1 of U.S. Patent No. 6,034,918, which is related to present U.S. Patent Application No. 10/716,595 by virtue of the fact that both claim priority to U.S. Patent Application No. 07/510,898 (now abandoned), citing 冈 J.L. Rodengen, The Legend of Amdahl, Write Stuff Syndicate, Inc., 2000, and FACOM M-780, Operating Manual, including two separate English language translations thereof. Invalidity argument materials of potential licensee relating to claim 23 of U.S. Patent No. 6,038,195, to which present U.S. Patent Application No. 10/716,595 claims priority and is related by virtue of the fact that both claim priority to U.S. Patent Application No. 07/510,898 (now abandoned), citing J.L. Rodengen, The Legend of Amdahl, Write Stuff Syndicate, Inc., 冈

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